

and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.
If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

"Good cause" does not include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff will be required to pay the expenses of service for the failure.

Duty to Avoid Unnecessary Expenses of Serving a Summons

Telephone number

(213) 443-6222

E-mail address

msmith@smithcorrell.com

Address

Smith Correll, LLP
1176 Wilshire Blvd., Suite 1670
Los Angeles, CA 90025

Printed name

Mark L. Smith, Esq.

Printed name of party waiving service of summons
Alpine Securities Corporation

Signature of the attorney or unrepresented party

Date: 6/13/17

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 06/05/2017, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

I understand that I, or the entity I represent, will keep all defenses or objections to the absence of a summons or of service, jurisdiction, and the venue of this action, but that I waive any objections to the absence of a summons or of service.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

(Name of the plaintiff's attorney or unrepresented plaintiff)

To: Gregory A. Kasper, Esq.

WAIVER OF THE SERVICE OF SUMMONS

U.S. Securities and Exchange Commission	Plaintiff	Defendant
Civil Action No. 7:17-CV-4179	V.	Alpine Securities Corporation

Southern District of New York

for the

UNITED STATES DISTRICT COURT